



## **Senior Compliance Officer (f/m/d)**

### **Munsbach (LUXEMBOURG)**

#### **About LRI Group**

Luxembourg Regulated Investments established 1988: LRI Group, an Apex Group Company, is a leading investment services company based in Luxembourg. It provides asset managers and investors with three decades of experience in structuring and administration of traditional and alternative investment strategies. LRI Group boasts over EUR 70 bn assets under service across more than 540 funds and has more than 200 staff. We look to recruit bright, articulate and numerate employees who are not afraid of a challenge and are prepared to work hard and love what they do.

#### **Job Specification**

- Conduct independently initial and ongoing due diligence reviews on new clients and delegates incl. KYC/AML identification;
- Perform compliance control missions in line with the Compliance Monitoring Plan;
- Assist in the establishment and review of in-house Compliance procedures;
- Proactively identify, analyse and report compliance risks;
- Perform FATCA/CRS controls;
- Maintain the Conflict of Interest database;
- Support in the impact assessment of ongoing regulatory requirements;
- Assess the regulatory implications of new product launches and service extensions;
- Along with the local Head of Compliance being the first point of contact for the regulator, maintaining an open and positive working relationship and ensure high quality in any correspondence.

#### **Skills Required**

- University degree in Law, Economics or comparable professional education in relevant fields.
- Professional experience of minimum 5 years in the field of Compliance in Luxembourg, preferably regarding investment funds and management companies/ AIFMD;
- Excellent knowledge of the Luxembourg regulatory framework including AML/KYC, Delegation Oversight, UCITS, AIFMD;
- Self-organized and able to work accurately;
- Ability to handle sensitive situations in a confidential and professional manner;



An Apex Group Company

- Pro-active and team-oriented;
- Proficiency in MS Office;
- Fluent in English and German, French will be considered an asset.

### **What you will get in return**

You will be joining a team that works in a friendly, co-operative and supportive environment, as well as a company that will provide you with the resources and support (e.g. professional studies) to progress. Further you will get a genuinely unique opportunity to be part of an expanding large global business

If you are looking to take the next step in your career and are ready to work for a high performing organisation, alongside with professional people who take pride to delivering good quality of work please submit your application (with your CV, cover letter and salary expectations) our dedicated email address:

[jobs@lri-group.lu](mailto:jobs@lri-group.lu)

### **Additional information**

We are an equal opportunity employer and ensure that no applicant is subject to less favourable treatment on the grounds of gender, gender identity, marital status, race, colour, nationality, ethnicity, age, sexual orientation, socio-economic, responsibilities for dependants, physical or mental disability. Any hiring decision is made on the basis of skills, qualifications and experiences.

We measure our success as a business, not only by delivering great products and services and continually increasing our assets under administration and market share, but also by how we positively impact people, society and the planet.

For more information on our commitment to Corporate Social Responsibility (CSR)) please visit <https://theapexgroup.com/csr-policy/>